

# The Commonwealth of Massachusetts

William Francis Galvin, Secretary of the Commonwealth Public Records Division

Manza Arthur Supervisor of Records

April 19, 2023 SPR23/0652

Eric J. Schartner Chief of Police Records Access Officer Berlin Police Department 23 Linden Street Berlin, MA 01503

Dear Chief Schartner:

I have received the petition of Todd Wallack, of *WBUR*, appealing the response of the Berlin Police Department (Department) to a request for public records. <u>See</u> G. L. c. 66, § 10A; <u>see also</u> 950 C.M.R. 32.08(1). On March 20, 2023, Mr. Wallack requested the following records related to a named Officer:

- [1] Resume submitted by Officer ... when he was hired by the Department
- [2] Application form filled out by Officer ... when he was hired by the Department
- [3] Reference letters for Officer ... when he was hired by the Department

The Department responded on March 29, 2023. Unsatisfied with the response, Mr. Wallack petitioned this office and this appeal, SPR23/0652, was opened as a result.

## The Public Records Law

The Public Records Law strongly favors disclosure by creating a presumption that all governmental records are public records. G. L. c. 66, § 10A(d); 950 C.M.R. 32.03(4). "Public records" is broadly defined to include all documentary materials or data, regardless of physical form or characteristics, made or received by any officer or employee of any agency or municipality of the Commonwealth, unless falling within a statutory exemption. G. L. c. 4, § 7(26).

It is the burden of the records custodian to demonstrate the application of an exemption in order to withhold a requested record. G. L. c. 66, § 10(b)(iv); 950 C.M.R. 32.06(3); see also Dist. <u>Att'y for the Norfolk Dist. v. Flatley</u>, 419 Mass. 507, 511 (1995) (custodian has the burden of establishing the applicability of an exemption). To meet the specificity requirement a custodian must not only cite an exemption, but must also state why the exemption applies to the withheld

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or redacted portion of the responsive record.

### The Department's March 29th Response

In its March 29, 2023 response, the Department advised that it "... possesses all records responsive to this request." The Department cited Exemption (c) to withhold the responsive records.

## Exemption (c)

Exemption (c) permits the withholding of:

personnel and medical files or information and any other materials or data relating to a specifically named individual, the disclosure of which may constitute an unwarranted invasion of personal privacy; provided, however, that this subclause shall not apply to records related to a law enforcement misconduct investigation.

G. L. c. 4, § 7(26)(c).

Analysis under Exemption (c) is subjective in nature and requires a balancing of the public's right to know against the relevant privacy interests at stake. <u>Torres v. Att'y Gen.</u>, 391 Mass. 1, 9 (1984); <u>Att'y Gen. v. Assistant Comm'r of Real Prop. Dep't</u>, 380 Mass. 623, 625 (1980). Therefore, determinations must be made on a case-by-case basis.

Massachusetts courts have found that "core categories of personnel information that are 'useful in making employment decisions regarding an employee" may be withheld from disclosure. <u>Worcester Telegram & Gazette Corp. v. Chief of Police of Worcester</u>, 58 Mass. App. Ct. 1, 5 (2003). For example, "employment applications, employee work evaluations, disciplinary documentation, and promotion, demotion, or termination information pertaining to a particular employee," may be withheld pursuant to Exemption (c). <u>Wakefield Teachers Ass'n v.</u> <u>Sch. Comm.</u>, 431 Mass. 792, 798 (2000). The courts have also discussed specific categories of records that may be redacted under Exemption (c). <u>See Globe Newspaper Co. v. Exec. Office of</u> <u>Admin. and Fin.</u>, Suffolk Sup. No. 11-01184-A (June 14, 2013).

This exemption does not protect all data relating to specifically named individuals. Rather, there are factors to consider when assessing the weight of the privacy interest at stake: (1) whether disclosure would result in personal embarrassment to an individual of normal sensibilities; (2) whether the materials sought contain intimate details of a highly personal nature; and (3) whether the same information is available from other sources. <u>See People for the Ethical Treatment of Animals (PETA) v. Dep't of Agric. Res.</u>, 477 Mass. 280, 292 (2017).

The types of personal information which this exemption is designed to protect includes: marital status, paternity, substance abuse, government assistance, family disputes and reputation. <u>Id</u>. at 292 n.13; <u>see also Doe v. Registrar of Motor Vehicles</u>, 26 Mass. App. Ct. 415, 427 (1988) (holding that a motor vehicle licensee has a privacy interest in disclosure of his social security

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number).

This exemption requires a balancing test which provides that where the public interest in obtaining the requested information substantially outweighs the seriousness of any invasion of privacy, the private interest in preventing disclosure must yield. PETA, 477 Mass. at 291. The public has a recognized interest in knowing whether public servants are carrying out their duties in a law-abiding and efficient manner. Id. at 292.

In its response, the Department asserted, "... a public employee's resume, application and/or references constitute personnel information useful in making employment decisions. Speci[fic]ally, these documents are 'useful in making employment decisions regarding an employee' which 'includes, at a minimum, employment applications, employee work evaluations, disciplinary documentation, and promotion, demotion, or termination information pertaining to a particular employee.' See Wakefield Teachers Ass'n v. School Committee, 431 Mass 792, 798 (2000)."

Based on the Department's response, it is unclear how all the records constitute one of the core categories of personnel information. It is additionally uncertain how the records, in their entirety, contain intimate details of a highly personal nature, nor how disclosure would result in personal embarrassment to an individual of normal sensibilities. It is also not clear whether this information is available from other sources. PETA, 477 Mass. at 292. Further, the Department did not provide information with respect to examining whether the public interest in obtaining the requested information outweighs the seriousness of any invasion of privacy. Id.

Further, the Department must clarify whether segregable portions can be provided. See Reinstein v. Police Comm'r of Boston, 378 Mass. 281, 289-90 (1979) (the statutory exemptions are narrowly construed and are not blanket in nature). Any non-exempt, segregable portion of a public record is subject to mandatory disclosure. G. L. c. 66, § 10(a).

#### Conclusion

Accordingly, the Department is ordered to provide Mr. Wallack with a response to the request, provided in a manner consistent with this order, the Public Records Law, and its Regulations within ten (10) business days. A copy of any such response must be provided to this office. It is preferable to send an electronic copy of this response to this office at pre@sec.state.ma.us.

Sincerely, M*orgaSitta* 

Manza Arthur Supervisor of Records

cc: Todd Wallack